



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address : COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER 07/698,284	FILING DATE 05/02/91	FIRST NAMED INVENTOR HIGUCHI	ATTORNEY DOCKET NO. R 2599
-----------------------------	-------------------------	---------------------------------	-------------------------------

STACEY R. SIAS, PH.D.
CETUS CORPORATION
1400 FIFTY-THIRD STREET
EMERYVILLE, CA 94608

PRIORITY EXAMINER

ART UNIT 1814	PAPER NUMBER 10
------------------	--------------------

DATE MAILED: 03/10/92

This is a communication from the examiner in charge of your application.
COMMISSIONER OF PATENTS AND TRADEMARKS

This application has been examined Responsive to communication filed on _____ This action is made final.

A shortened statutory period for response to this action is set to expire 3 month(s), _____ days from the date of this letter.
Failure to respond within the period for response will cause the application to become abandoned. 35 U.S.C. 133

Part I THE FOLLOWING ATTACHMENT(S) ARE PART OF THIS ACTION:

- | | |
|--|--|
| <input checked="" type="checkbox"/> Notice of References Cited by Examiner, PTO-892. | <input checked="" type="checkbox"/> Notice re Patent Drawing, PTO-948. |
| <input checked="" type="checkbox"/> Notice of Art Cited by Applicant, PTO-1449. | <input type="checkbox"/> Notice of Informal Patent Application, Form PTO-152 |
| <input type="checkbox"/> Information on How to Effect Drawing Changes, PTO-1474. | <input type="checkbox"/> _____ |

Part II SUMMARY OF ACTION

1. Claims 1-22 are pending in the application.

Of the above, claims _____ are withdrawn from consideration.

2. Claims _____ have been cancelled.

3. Claims _____ are allowed.

4. Claims 1-22 are rejected.

5. Claims _____ are objected to.

6. Claims _____ are subject to restriction or election requirement.

7. This application has been filed with informal drawings under 37 C.F.R. 1.85 which are acceptable for examination purposes.

8. Formal drawings are required in response to this Office action.

9. The corrected or substitute drawings have been received on _____. Under 37 C.F.R. 1.84 these drawings are acceptable; not acceptable (see explanation or Notice re Patent Drawing, PTO-948).

10. The proposed additional or substitute sheet(s) of drawings, filed on _____, has (have) been approved by the examiner; disapproved by the examiner (see explanation).

11. The proposed drawing correction, filed _____, has been: approved; disapproved (see explanation).

12. Acknowledgement is made of the claim for priority under U.S.C.119. The certified copy has been received not been received; been filed in parent application, serial no. _____; filed on _____.

13. Since this application appears to be in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213.

14. Other

The disclosure is objected to because of the following informalities: the wording of Claims 1 and 11 is grammatically awkward in the phrase "A method ..., said method comprises...". Substitution with either "wherein said method comprises..." or "said method comprising..." is suggested.

The drawings are objected to because of the deficiencies noted on the enclosed Form PTO-948. Correction is required.

Claims 10-22 are rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 10 is confusing in the recitation of "determining the increase in fluorescence before and after PCR" as no increase in fluorescence occurs before PCR.

Claim 11 (upon which Claims 12-16 depend) is vague and confusing in the recitation of "a mixture that comprises a PCR" as it is unclear what a PCR includes. Substitution with "a mixture that comprises all components necessary for the selective amplification of said target nucleic acid by polymerase chain reaction..." is suggested.

Claim 17 is confusing in the recitation of "PCR buffer that comprises and an intercalating agent." Deletion of the word "and" is suggested.

The following is a quotation of 35 U.S.C. § 103 which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

Claims 1-22 are rejected under 35 U.S.C. § 103 as being unpatentable over Sutherland et al. in view of Mullis et al. (Reference AD, U.S. Patent No. 4,683,195).

Sutherland et al. disclose methods for the use of fluorescent dyes including in particular ethidium bromide for measurement of polymerization of nucleic acids during PCR amplification. They disclose that these dyes can be provided directly in the PCR reaction and that the dyes have a greater fluorescence when bound to double stranded DNA than when either bound to single stranded DNA or unbound.

Mullis et al. disclose that amplification of nucleic acids by PCR allows the detection of very rare nucleic acids present in a large excess of other nucleic acids and that detection of amplified nucleic acids is useful for the detection of genetic and infectious disease. They further disclose that PCR

selectively synthesized only the selected target DNA during amplification.

Therefore, it would have been obvious to one of ordinary skill in the art to use the method of detecting polymerization by use of fluorescent dyes during a PCR amplification to detect the target nucleic acid of the amplification reaction.

In regards to Claim 10, the ordinary skilled artisan would have known that one could quantitate the amount target DNA originally present in the amplification reaction from the measured change in fluorescence by a simple comparison to a standard curve of the amount of fluorescence change produced by a given amount of initial DNA.

In regards to Claims 12-16, the use of optic fibers to continuously monitor the fluorescence of a solution is old and well known in the art and as such it would have been obvious to use one in order to monitor the synthesis of the target nucleic acids during the amplification reaction for the added simplicity of not having to remove aliquots of the reaction at various times.

In regards to Claims 17-22, as Sutherland et al. disclose that the fluorescent dye can be provided directly within the starting PCR buffer, it would have been obvious to include the dye within the buffer of a kit for detecting amplified target nucleic acids, such as the one disclosed by Mullis et al. for the

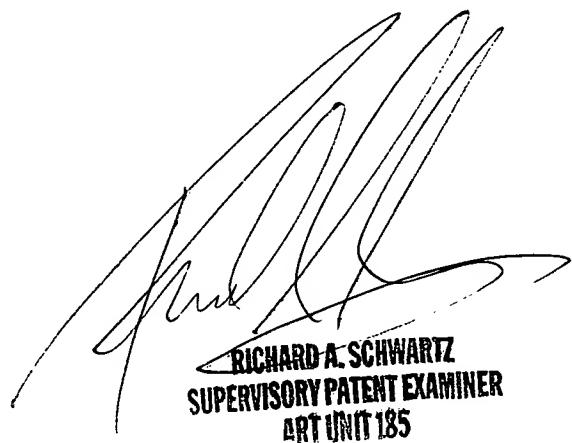
Serial No. 07/695, 201
Art. Unit 1814

-5-

added convenience of minimizing the amount of time needed to prepare the reaction.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Rebecca Prouty whose telephone number is (703) 308-4000.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0196.



RICHARD A. SCHWARTZ
SUPERVISORY PATENT EXAMINER
ART UNIT 185